#### IN THE UNITED STATES DISTRICT COURT EASTERN DISTRICT OF TEXAS MARSHALL DIVISION

1	TIVTA	COMM	TECHNOL	OCIES	INC
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Plaintiff,

Civil Action No. 2:07-CV-359 LED

v.

ASCENTIAL SOFTWARE CORPORATION, et al.,

Defendants.

#### **DEFENDANTS' NOTICE OF PRIOR ART UNDER 35 U.S.C. § 282**

In addition to the items expressly listed in this notice, the Defendants' also incorporate by reference all information set forth in the following as if set forth herein:

- Defendants' Amended Invalidity Contentions Concerning U.S. Patent 6,195,662 dated
   11/24/2008 and any other supplements thereto.
- Expert Report of Mr. Colin White, dated May 1, 2009.
- Expert Report of Dr. Doris L. Carver, dated May 1, 2009.
- Expert Report of Dr. Peter Alexander, dated May 8, 2009.
- Rebuttal Expert Report of Dr. Doris L. Carver, dated June 15, 2009.
- Rebuttal Expert Report of Dr. Peter Alexander, dated June 16, 2009.
- Supplemental Expert Report of Dr. Peter Alexander, dated August 10, 2009.

# A. Patents and Patent Applications.

No.	Title	Country	Date	Inventors
1.	United States Patent No. 5,970,490 – Integration Platform for Heterogeneous Databases [DEFS 45036 - 45066]	USA	Issued: 10/19/1999 Filed: 11/4/1997	Mathew Morgenstern
2.	United States Patent No. 5,497,491 – System and Method for Importing and Exporting Data Between and Object Oriented Computing Environment and an External Computing Environment [DEFS 33131 – 33157]	USA	Issued: 03/05/1996 Filed: 01/26/1993	Herman Mitchell; William Rich; Floyd Shackelford
3.	United States Patent No. 5,708,828 – System for Converting Data from Input Environment Using First Format to Output Data Environment Using Second Format By Executing the Associations Between Their Fields [DEFS00035701 – DEFS00035742]	USA	Issued: 01/13/1998 Filed: 05/25/1995	J. Todd Coleman
4.	File History for United States Provisional Patent Application Serial No. 60- 028,640 [DEFS00072997 – DEFS00073486]	USA	Filed: 10/18/1996	Vladimir Gorelik; Craig Powers; John Zicker; Nicholas Englehart
5.	File History for United States Provisional Patent Application Serial No. 60- 028,725 [DEFS00073487 – DEFS00073966]	USA	Filed: 10/18/1996	Vladimir Gorelik; Craig Powers
6.	File History for United States Provisional Patent Application Serial No. 60- 028,970 [DEFS00073967 – DEFS00074535]	USA	Filed: 10/18/1996	Terris J. Linenbach; Vladimir Gorelik; Craig Powers; Robert E. Powers; Tana C. Netsch

7.	United States Patent No.	USA	Issued: 6/2/1992	Martin L. Jack;
/.	5,119,465 - System For	USA	Filed: 6/19/1989	Richard Gumbel
	Selectively Converting		111cu. 0/17/1707	Richard Guilloci
	Plurality of Source Data			
	Structures Through			
	Corresponding Source			
	Intermediate Structures, and			
	Target Intermediate			
	Structures Into Selected			
	Target Structure			
	[DEFS00044178 –			
	DEFS00044208]			
8.	United States Patent No.	USA	Issued: 5/6/1997	Daniel T. Chang;
	5,627,979 - System and		Filed: 7/18/1994	Chrinstina Lau;
	Method For Providing A			Taejae Lee
	Graphical User Interface For			
	Mapping And Accessing			
	Objects In Data Stores			
	[DEFS00041965 –			
	DEFS00042003]			
9.	United States Patent No.	USA	Issued:	Craig Upson;
	5,652,874 - Computer-		7/29/1997	Chee S. Yu
	Implemented Method And		Filed: 6/7/1995	
	An Apparatus For Inputting			
	Data Structure			
	Characteristics			
	[DEFS00039523 –			
	DEFS00039539]			
10.	United States Patent No.	USA	Issued: 6/8/1999	Elisabeth
	5,911,074 - Process For		Filed: 12/6/1996	LePrince; Robert
	Manipulating Data Models			Carosso; James
	Used In Software			Kiso; Edward
	Engineering			Strassberger
	[DEFS00044209 –			
	DEFS00044226]			
11.	United States Patent No.	USA	Issued:	Terris J.
	5,930,794 - Database		7/27/1999	Linenbach;
	Repository With Deferred		Filed:	Vladimir
	Other Publications		11/26/1996	Gorelik; Craig
	[DEFS00035463 –			Powers; Robert
	DEFS00035475]			Powers; Tana
				Netsch

12.	United States Patent No.	USA	Issued:	Craig Powers;
	6,038,558 – Extensible Database Retrieval and		5/14/2000 Filed:	Vladimir Gorelik
	Viewing Architecture		10/17/1997	
	[DEFS00048615 –		10/11/1997	
	DEFS00048621]			
13.	United States Patent No.	USA	Issued:	Vladimir
	6,317,737 B1 – Data		11/13/2001	Gorelik; Craig R.
	Descriptions in a Database		Filed:	Powers; John E.
	System [DEFS00029075 –		10/17/1997	Zicker; Nicholas
14.	DEFS00029081] United States Patent No.	USA	Issued: Jul 11,	Engelhart Robert L.
14.	5,432,925 – System for	OSM	1995	Abraham,
	providing a uniform external		Filed: Aug 4,	Charles B.
	interface for an object		1993	Harvey, Jr.,
	oriented computing system			Teresa E.
	[IBM09149399 – 414]			Merrick, Herman
				Mitchell,
				Adrienne Tin, James R. Wason
15.	United States Patent No.	USA	Issued: Jun 9,	Kenneth R.
10.	5,765,161 – Method for		1998	Blackman, Jack
	encapsulating data from		Filed: Oct 25,	L. Howe, III
	non-object-oriented		1996	
	datastores as datastore			
	persistent objects			
16.	[IBM09149760 – 72] United States Patent No.	USA	Issued: Jan 11,	Richard A.
10.	5,278,978 – Method and	USA	1994	Demers, Bruce
	system for describing and		Filed: Mar 26,	G. Lindsay,
	exchanging data between		1990	Roger A.
	heterogeneous database			Reinsch, Melvin
	systems with data converted			R. Zimowski
	by the receiving database			
17	system [IBM09156160 – 74]	TICA	Jaguarda Jul 17	Daniel T. Chan-
17.	United States Patent No. 6,263,342 – Federated	USA	Issued: Jul 17, 2001	Daniel T. Chang, Siyi Terry Donn,
	searching of heterogeneous		Filed: Apr 1,	Douglas Michael
	datastores using a federated		1998	Hembry, Tae Jae
	datastore object			Lee, Basuki N.
	[IBM09160854 – 901]			Soetarman,
				Robert N.
				Summers, Frank
				C. Tung

18.	United States Patent No. 5,727,158 – Information repository for storing information for enterprise computing system	USA	Issued: Mar 10, 1998 Filed: Sep 22, 1995	M'hamed Bouziane, Robert C. Webber, III, Vincent A. Mastro, Charles P. Rehberg, Barbara A. Nichols, Roxanne N. Myers
19.	United States Patent No. 5,909,681 – Computer system and computerized method for partitioning data for parallel processing	USA	Issued: Jun 1, 1999 Filed: Mar 25, 1996	Anthony Passera, John R. Thorp, Michael J. Beckerle, Edward S. A. Zyszkowski
20.	United States Patent No. 5,995,980 – System and method for database update replication	USA	Issued: Nov 30, 1999 Filed: Jul 23, 1996	Jack E. Olson, Thomas G. Price
21.	United States Patent No. 6,311,265 – System and method for database update replication	USA	Issued: Oct 30, 2001 Filed: Mar 25, 1996	Michael J. Beckerle, James Richard Burns, Jerry L. Callen, Jeffrey D. Ives, Robert L. Krawitz, Daniel L. Leary, Seven Rosenthal, Edward S. A. Zyzkowski
22.	United States Patent No. 6,356,901 B1 – Method and Apparatus for Import, Transform and Export of Data [MSJX00247792- MSJX00247811]	USA	Issued: 03/12/2002 Filed: 12/16/1998	Stewart MacLeod; Casey L. Kiernan

### **B.** Publications/Articles/Documents.

No.	Title	Date	Author(s)
1.	"An Integration Platform for Heterogeneous Databases: The DAISy System" Xerox Corporation, pp. 1-18 [SAG 5884299]	1996	Mathew Morgenstern
2.	The InfoPump 1.0 User Guides [DEFS00107662 – DEFS00108018; CA 177249 - 177581]	1993	Channel Computing, Inc.
3.	Have you read about these exciting new InfoPump applications? [DEFS00025868 - DEFS00025871]	1995	Trinzic Corporation
4.	InfoPump [DEFS0025470 - DEFS0025475]	03/31/1995	Trinzic Corporation
5.	IPBD spreadsheet: List of Customers for InfoPump, pp.1 – 4. [DUDLEY000181-DUDLEY000184]		Trinzic Corporation
6.	InfoPump Technical Document - Scott Prager [DEFS00021585 – DEFS00021617; DEFS0025508- DEFS0025540]		Scott Prager
7.	InfoPump, Commonly Asked Questions, pp. 1 – 13, [DEFS00012257 – DEFS00012277; DEFS0025759 - DEFS0025779]	05/25/1995	Trinzic Corporation
8.	SAS, A Programmer's Guide, J. Ranade IBM Series, [DEFS00087212 – DEFS0087544]	1988	Monte Aronson and Alvera Aronson; SAS Software, Inc.
9.	Advanced Transformer User's Guide, Release 2.0 of the Data Interpretation System [DEFS00027814 – DEFS00028077]	06/1994	Metaphor, Inc.
10.	Capsule User's Guide, Release 2.0 of the Data Interpretation System [DEFS00096161 – DEFS00096326]	06/1994	Metaphor, Inc.
11.	Form Design User's Guide, Release 2.0 of the Data Interpretation System [DEFS0044234 – DEFS00044481]	06/1994	Metaphor, Inc.
12.	Plot User's Guide, Release 2.0 of the Data Interpretation System [DEFS00044482 – DEFS00044643]	06/1994	Metaphor, Inc.
13.	Query User's Guide, Release 2.0 of the Data Interpretation System [DEFS00032988 – DEFS00033130]	06/1994	Metaphor, Inc.

No.	Title	Date	Author(s)
14.	Spreadsheet User's Guide, Release 2.0 of the Data Interpretation System [DEFS00044644 – DEFS00044847]	06/1994	Metaphor, Inc.
15.	Transformer User's Guide, Release 2.0 of the Data Interpretation System, [DEFS00044848 – DEFS00045035]	06/1994	Metaphor, Inc.
16.	Data Entry User's Guide, Release 2.0 of the Data Interpretation System [DEFS0008958 – DEFS0009053]	06/1994	Metaphor, Inc.
17.	Regression and Time Series Analysis Transformer's User's Guide, Release 2.0 of the Data Interpretation System [DEFS0009105 – DEFS0009446]	06/1994	Metaphor, Inc.
18.	Browser's User's Guide, Release 2.0 of the Data Interpretation System [DEFS00015187 – DEFS00015298]	06/1994	Metaphor, Inc.
19.	Form Design User's Guide, Release 2.0 of the Data Interpretation System [DEFS00015299 – DEFS00015546]	06/1994	Metaphor, Inc.
20.	Significance and Sample Testing, Transformer's User's Guide, Release 2.0 of the Data Interpretation System [DEFS00015547 – DEFS00015770[	06/1994	Metaphor, Inc.
21.	SQL Entry User's Guide, Release 2.0 of the Data Interpretation System [DEFS00021620 – DEFS00021693]	06/1994	Metaphor, Inc.
22.	Press Release: Sagent Technology Unveils Industry's First Integrated Data Mart Solution for Microsoft Windows NT [DEFS00029082 – DEFS00029084]	08/05/1996	Sagent Technology, Inc.
23.	Sagent and Group 1 source code [DEFS00095770]		Sagent Technology, Inc.
24.	Sagent Data Mart Administrator's Guide Release 1.0 BETA 2 [DEFS00035476 – DEFS00035615]	09/1996	Sagent Technology, Inc.
25.	Sagent Design Studio User's Guide Release 1.0 BETA 2 [DEFS00048717- DEFS00048846]	09/1996	Sagent Technology, Inc.
26.	Sagent Information Studio Getting Started Release 1.0 BETA 2 [DEFS00048622 - DEFS00048716]	09/1996	Sagent Technology, Inc.
27.	Sagent Data Mart Solution [DEFS00048849 - DEFS00048854]	02/1997	Joseph Williams

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28.	Declaration of John Zicker Re Authentication of Computer Code for Sagent 1.0 and Documents Establishing the Sale of the Code for Sagent 1.0 [INFB00895.000126 - INFB00895.000142]	06/21/2006	John Zicker
29.	Invoice from Sagent Technology, Inc. to Automatic Data Processing [INFB00895.000140]	05/22/1996	Sagent Technology, Inc.
30.	Sagent Technology Alpha Documentation [DEFS00095579 - DEFS00095760]	4/15/1996	Sagent Technology, Inc.
31.	Sagent Technology, Inc. Version One Product Requirements [DEFS00093912 - DEFS00093940]	08/1995	Sagent Technology, Inc.
32.	Sagent Technology, Inc. Information Studio - Getting Started - Release 1.0, pp. 1 - 98 – [Produced on DEFS00095770]	10/1996	Sagent Technology, Inc.
33.	Sagent Technology, Inc. Design Studio User's Guide - Release 1.0, pp. 1 – 144, [Produced on DEFS00095770]	10/1996	Sagent Technology, Inc.
34.	Sagent Technology, Inc. Sagent Administrator's Guide - Release 1.0, pp. 1 – 154, [Produced on DEFS00095770]	10/1996	Sagent Technology, Inc.
35.	"Sagent Offers One-Stop Data ShoppingReview:Data Mart Solution 2.0 ably meets data management analysis, extraction needs" PC Week [DEFS00048847 – DEFS00048848]	05/12/1997	John Shumate
36.	OmniSQL Server System Administration Guide [DEFS00095893 – DEFS00096157]	1993	Jim Cluett, Sybase, Inc.
37.	Sybase OmniSQL Server Installation Guide for Sun OS Release 4.x (BSD) Release 10.1 [DEFS00082405 – DEFS00082469]	11/1/1993	Jim Cluett, Sybase, Inc.
38.	Sybase OmniSQL Server Server Class and Utilities Reference Manual Release 10.1 [DEFS00082264 – DEFS00082404]	11/1/1993	Jim Cluett, Sybase, Inc.

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39.	Client/Server Design Database with Sybase, McGraw-Hill. [SYB_71043 - 71631]	12/23/1996	George W. Anderson
40.	ETI EXTRACT Data Systems Library Handbook for C/Sybase Release 1.2 [DEFS 74931 – DEFS00075018]	06/1996	Evolutionary Technologies, Inc.
41.	Sybase Developer's Guide, Sams Publ., [SYB_71632 – 2345]	1994	Daniel Worden, Sybase, Inc.
42.	SAS/ACCESS Interface to Sybase and SQL Server: Usage and Reference Version 6 First Edition, [DEFS00086928 – DEFS00087211]	1993	SAS Institute, Inc.
43.	Sybase Architecture and Administration [DEFS00079749 – DEFS00080162]	1993	John Kirkwood
44.	Sybase OmniConnect User's Guide Release 10.5.x [DEFS00081809 – DEFS00082053]	08/15/1995	Jim Cluett, Sybase, Inc.
45.	"A Data Warehouse Using the SAS System" Proceedings of the Twenty- First Annual SAS Users Group International Conference [DEFS00077497 – DEFS00077506	1996	Harriet Janes, et al.,
46.	How SAS Works, A Comprehensive Introduction to the SAS System, pp.1 – 193, [DEFS00083068 – DEFS00083269]	1990	Paul Herzberg
47.	SAS Guide to the SQL Procedure: Usage and Reference Version 6 First Edition, [DEFS00084719 – DEFS00084951]	1989	SAS Institute, Inc.
48.	SAS Language and Procedures: Usage 2 [DEFS00083270 – DEFS00083949]	1991	SAS Institute, Inc.
49.	SAS Macro Language: Reference First Edition, [DEFS00086623 – DEFS00086927]	1997	SAS Institute, Inc.
50.	SAS/ACCESS Interface to Sybase and SQL Server: Usage and Reference Version 6 First Edition, [DEFS00086928 – DEFS00087211]	1993	SAS Institute, Inc.
51.	SAS/Warehouse Admininistrator User's Guide Release 1.1, First Edition [DEFS00077350 – DEFS00077496]	1997	SAS Institute, Inc.

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52.	A Relational Model of Data for Large Shared Databanks, Communications of the ACM, Vol. 13, No. 6	1970	E.F. Codd
53.	InfoPump Source Code (e.g., DEF EXH. NOS. 497, 866, 2447-62, 2470)	1991	Trinzic Corporation
54.	CA Licensed Contracts for Specified Products [CA00027794-850]		CA, Inc.
55.	Email from Prager to DeSantis and attachment [IBM09126097-100]	7/30/1993	Scott Prager
56.	"Tool Tackles Database Download Bottleneck - Trinzic's InfoPump simplifies data migration," Computer World	04/12/1993	Gary Anthes
57.	Revolutionizing Data Migration [DEFS00042398 – DEFS00042416]	10/1996	Wayne Eckerson
58.	"Is Client/Server Dead?" pp. 1 – 31. [INFB 149.001 - 149.032]	12/1996	Wayne Eckerson
59.	DCLE User Reference Guide Release 1.2 [DEFS00013018 - DEFS00013189]	09/1996	Reliant Data Systems, Inc.
60.	DCLE Command Reference Guide Release 1.0 [DEFS00012839 – DEFS00013017]	07/1996	Reliant Data Systems, Inc.
61.	DCLE Command Reference Guide Release 2.1 DEFS00009655 – DEFS00009826]	1997	Reliant Data Systems, Inc.
62.	DCLE Command Reference Guide, Release 1.0, [DEFS00042417 - DEFS00042595]	07/1996	Reliant Data Systems, Inc.
63.	DCLE MVS Configuration Guide Version 3.4.0 [DEFS00016360- DEFS00016423]	1998	Reliant Data Systems, Inc.
64.	DCLE User Reference Guide Release 2.1 [DEFS00013190 – DEFS00013379]	1997	Reliant Data Systems, Inc.
65.	DCLE User Reference Guide Version 3 [DEFS0009827 – DEFS00010081]	1998	Reliant Data Systems, Inc.
66.	DCLE Script Reference Guide Version 3.4.0 [DEFS00021703- DEFS00021779]	1998	Reliant Data Systems, Inc.
67.	"Building a Corporate Information System, The Role of the Data Mart, pp. 1 – 20. [DEFS00095238 – DEFS00095259]	02/1997	Colin J. White, Database Associates, International

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68.	Declaration of John Zicker re Authentication of Computer Code for Sagent 1.0 and Documents Establishing the Sale of the Code for Sagent 1.0 [INFB00895.000126 – 142]	06/21/2006	John Zicker
69.	Data Collection Agent Design - Caveat Emptor: This is work in progress, pp. 1 – 18 [DEFS00093500 - DEFS00093517]	1/25/1996	Vladimir Gorelik
70.	Sagent Source Code – "block.cpp" file		Sagent Technology, Inc.
71.	Data Junction Cambio User's Guide Version 5.1 Data Junction makes the connection, pp. 1 – 108 [DEFS00022087 – DEFS00022202]	02/1996	Tools & Techniques, Inc.
72.	"Data Junction Makes the Connection" PC Week, [DEFS00019082 – DEFS00019085]	5/25/1998	Bert Scaizo
73.	Data Junction SMC User's Guide 3.02 [DEFS00024375 – DEFS00024499]	1990	Tools & Techniques, Inc.
74.	Data Junction User's Guide Version 3.0 [DEFS00018667 – DEFS0018945]	1989	Tools & Techniques, Inc.
75.	Data Junction User's Guide Version 4.0 [DEFS00022322 – DEFS00022620]	1990	Tools & Techniques, Inc.
76.	Data Junction User's Guide Version 4.2 [DEFS00010484 – DEFS00010783]	1995	Tools & Techniques, Inc.
77.	Data Junction User's Guide Version 5.0 [DEFS00011311 – DEFS00011430]	1995	Tools & Techniques, Inc.
78.	Data Junction User's Guide Version 5.1 [DEFS00108957 – DEFS00109248]	1995	Tools & Techniques, Inc.
79.	Data Junction User's Guide Version 5.11 [DEFS00018399 - DEFS00018666]	1996	Tools & Techniques, Inc.
80.	Data Junction Utilities [DEFS00019767 – DEFS00019884]	1992	Tools & Techniques, Inc.
81.	Data Junction: A Powerful Data Manipulation Tool, Inbound/Outbound, pp. 48 – 49. [DEFS00017849 – DEFS00017850]	07/1991	3D Communications, Inc.

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82.	DataJunction Converts Your DataEase Files, [DEFS00018188 – DEFS00018189		Tools & Techniques, Inc.
83.	New Program to Convert Dialog Data for Use in Lotus Notes, [DEFS00024368 – DEFS00024369]	05/30/1995	Tools & Techniques, Inc.
84.	Letter from William T. Ota of Advisor Publications to Michael Hoskins of Tools & Techniques, Inc. [DEFS00024374]	03/18/1996	William T. Ota, Advisor Publ.
85.	DataJunction Converts Your Paradox Files, [DEFS00088593]		Tools & Techniques, Inc.
86.	"Intelligent Business Strategies: ETL in the Database" [JXCM00170946-170947]	04/2003	Colin J. White
87.	Managing Data Quality and Transformation in a Corporate Information System, pp. 1 – 18 [DEFS00035761 – DEFS00035780]	02/1997	Colin J. White
88.	"Reliant" Article in Austin Business Journal [DEFS00107061 – DEFS00107062]	08/1996	Wayne Eckerson
89.	"The Benefits of Network Storage for DB2 Data Warehousing" pp. 1 – 18 [JXCM00007930 – JXCM00007949]	08/2002	Colin J. White
90.	"The White Paper" pp. 1 – 39 [DEFS00036541 – DEFS00036579]		
91.	"Tools for Traveling Data (Specialized Products for Extracting, Migrating and Cleansing Data) (Technology Information)." [DEFS00029467 – DEFS00029475]	06/1997	Joseph Williams, DBMS
92.	Workflow Tool Improves Bank's Customer Service, InfoWorld Reprint, Vol. 15, Issue 14.[DEFS00025506 – DEFS00025507]	04/05/1993	Alice LaPlante
93.	Deconstructing the Middleware Puzzle, Insurance & Technology. [DEFS00025464 – DEFS00025469]	01/1995	Yvette Debow
94.	A New Approach to Data Migration [DEFS00107631 – DEFS00107635]	10/1/1995	Reliant Data Systems, Inc.
95.	ADP Invoice [INFB00895.00014]	5/22/1996	Automatic Data Processing, Inc.

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96.	Architected for Alliances: Informatica PowerMart Integrates with Leading Database [INFA 16204 – 16206]	4/8/1996	Query Software Inc.
97.	Constellar Information Junction Business Analyst's Guide Version 1.6.2 [IBM09184151 – IBM09184275]	1995	Constellar Corporation
98.	Constellar Information Junction Systems Administrator Guide Version 1.6.2 [IBM09184276 – IBM09184389]	1995	Constellar Corporation
99.	Constellar Information Junction Whitepaper [DEFS00036541 - DEFS00036579]	1994	Constellar Corporation
100.	Constellar Information Junction Whitepaper [IBM01461558 - IBM01461590]	1995	Constellar Corporation
101.	Constellar Information Junction System Administrator's Guide Version 1.6 [DEFS00039111 – DEFS00039241]	1996	Constellar Corporation
102.	DataStage Developer's Guide for Release 1.0 [DEFS0000021 – 211]	01/1997	VMARK Software, Inc.
103.	DataStage Operator's Guide for Release 1.0 [DEFS0000212 – 280]	01/1997	VMARK Software, Inc.
104.	DataStage Plug-In Stages for Release 1.0 [DEFS0000281 – 301]	01/1997	VMARK Software, Inc.
105.	DataStage Installation Instructions [DEFS00052985 - 53003]	01/1997	VMARK Software, Inc.
106.	DataStage Version 0.2 Product Description [DEFS00052845 - DEFS00052878]	05/30/1996	VMARK Software, Inc.
107.	DataStage Press Release [DEFS00012254 - DEFS00012256]	12/1996	VMARK Software, Inc.
108.	DataStage v. 1.0 source code	1996/1997	VMARK Software, Inc.
109.	DataStage Presentation [IBM07723100 - 15]	11/1996	VMARK Software, Inc.
110.	DataStage v 1.0 Software Sheet [IBM07725364 - 65]		VMARK Software, Inc.
111.	DataStage Release 1 Product Integration Kit [IBM07722867 - 75]		VMARK Software, Inc.
112.	DCI Theater Demo Script [INFB156.000132 - 156.000137]		

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113.	DJ Engine SDK Programmer's Reference, Version 6.0, pp. 1 – 174. [DEFS00018223 – DEFS00018398]	1997	Data Junction Corporation
114.	ETI EXTRACT Conversion Specialist Guide Release 3.0 [DEFS00075459 – DEFS00075565]	03/1996	Evolutionary Technologies, Int'l
115.	ETI EXTRACT Data Systems Library Handbook for C/Sybase Release 1.2 [DEFS00074931 – DEFS00075018]	06/1996	Evolutionary Technologies, Int'l
116.	ETI EXTRACT Handbook for the Metadata Exchange Library Release 2.0 [DEFS00075019 – DEFS00075072]	05/1996	Evolutionary Technologies, Int'l
117.	ETI EXTRACT Installation and Administration Guide for Release 3.0.2 [DEFS00075338 – DEFS00075458]	01/1997	Evolutionary Technologies, Int'l
118.	ETI EXTRACT Master User's Guide Release 3.0 [DEFS00062229 – DEFS00062575]	03/1996	Evolutionary Technologies, Int'l
119.	ETI EXTRACT Reference Manual Release 3.0 [DEFS00062948 – DEFS00063151]	03/1996	Evolutionary Technologies, Int'l
120.	ETI EXTRACT Release Notes Release 3.0.2 [DEFS00063152 – DEFS00063265]	01/1997	Evolutionary Technologies, Int'l
121.	ETI Unveils Next Generation Data Integration Tool [DEFS00042965 – DEFS00042967]	12/5/1995	Evolutionary Technologies, Int'l
122.	Informatica Brings Data WareHousing to the Masses, [INFA 16199 – 16201]	4/8/1996	Informatica Corp.
123.	Informatica Vision Leads to Company's Emergence as Premier Software Provider For Building, Managing Data Marts, [INFA 16207 - 16208]	4/8/1996	Informatica Corp.
124.	Information Junction Server Version 1.6 System Administrator's Guide [DEFS00039111 – DEFS00039241]	1996	The SQL Group
125.	LookOut Functional Specification Version 1.3 [DEFS00012282 - DEFS00012296]	8/13/1991	Jim LaVerriere

No.	Title	Date	Author(s)
106	D. C. CA D.	07/1002	
126.	Benefits of Automating Data Translation, IEEE Software, pp. 82-	07/1993	Sandra A. Mamrak; Julie Barnes; Conleth S. O'Connell
	88. [DEFS00044227 –		Barnes, Cometi S. O Comen
	DEFS00044233]		
127.	Mercator for Web Servers		
	[DEFS00063597 – DEFS00063625]		
128.	Mercator Reference and User Guide	11/1993	TSI International Software Ltd.
	[DEFS00063266 – DEFS00063596]		
129.	Mercator Reference and User Guide	7/1993	TSI International Software Ltd.
	Pre-Release 1.00.17 [DEFS00059189-		
100	DEFS00059461]	1007	
130.	Mercator-the New Rold of Data	1995	TSI International Software Ltd.
	Mapping, pp. 1 – 9 [DEFS00030115		
131.	- DEFS00030124] Merge/Convert! User's Guide and	1990	Data Junction Corporation
131.	Reference Manual [DEFS00019363 –	1990	Data Junction Corporation
	DEFS00019766]		
132.	OmniConnect Installation Guide for	8/15/1995	Jim Cluett
	Digital UNIX Release 10.5.x		
	[DEFS00082054 – DEFS00082263]		
133.	Oracle and Applied Magnetics Build	4/8/1996	
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212.	Letter to Microsoft SQL Server Beta Partners – draft [MSJX001179645- MSJX001179654]	12/06/1997	Microsoft Corporation
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# C. Prior Art Devices/Systems.

No.	Title
1.	InfoPump
2.	Metaphor Data Interpretation System 2.0
3.	Sagent Data Mart
4.	Sybase OmniSQL Server
5.	Data Junction
6.	Mercator V.1
7.	Data Conversion Language Engine ("DCLE")
8.	Data Transformation Services ("DTS")
9.	Information Junction
10.	VMark DataStage
11.	Orchestrate
12.	Visual Warehouse

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Dated: October 9, 2009 Respectfully submitted,

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### **CERTIFICATE OF SERVICE**

The undersigned hereby certifies that a true and correct copy of the above and foregoing document has been served on October 9, 2009, to all counsel of record who are deemed to have consented to electronic service via the Court's CM/ECF system per Local Rule CV-5(a)(3).

/s/ Andrew G. Heinz
Andrew G. Heinz